

2020 Investigations Report

As of 10/9/2020

Total Open Issues		43
	Cases Open or Re-Opened	21
Charlene Northey		11
Sarah Sarnowski		7
Donna Oklok		8
	Pending Board Hearing	13
Charlene Northey		0
Sarah Sarnowski		5
	Compliance or Board Monitoring	6
Charlene Northey		12
Sarah Sarnowski		1
Donna Oklok		2
	Compliance Action Pending	3
Charlene Northey		2
Sarah Sarnowski		1
Donna Oklok		3
	Field Calls	26
Charlene Northey		7
Sarah Sarnowski		8
Donna Oklok		44
	Referred to Prosecutor	3
Cases Opened or Closed		
Opened		178
Closed		501
Respondent Types for 2020		
Licensed		170
Unlicensed		7
Other		1
	Total	178
ALLEGED ISSUES for 2020		
Action by Another Accountancy Board		0
Acts Discreditable to the Profession/License Holder		20
Felony Conviction / Criminal Conviction		1
Fraud or Deceit in Obtaining an Ohio License		7
Professional Conduct Rule Violation		
Acct. & Review Service Standards		8
Confidential Client Information		0
Continuing Education Verification		11
Failure to Obtain Proper License/Credential		0
Retention of Client Records		10
Tax Services Standards		16
Firm Registration Licensing Violation		8
Firm Registration Statute Violation		8
Suspension/Revocation of Right to Practice - Federal Agency		1
Unlawful Advertising		4
Unlawful Practice		5
Other		17
N/A - System Generated Compliance		104
	Total	220