

Issue Metrics Report As of 01/27/2015 Issues Received During 9/05/2014 - 01/27/2015 41 Total Issues	
Case Statuses	
CASES REFERRED TO PROSECUTOR	0
CURRENT CASES IN LITIGATION	2
COMPLIANCE ACTION PENDING	13
MONITORING	2
TOTAL OPEN (FY2015)	6
TOTAL CLOSED (FY2015)	20
TOTAL CURRENTLY OPEN	10
Respondent Types	
Licensed	40
Unlicensed	1
No Respondent	0
Total	41
ALLEGED ISSUES	
Conduct/Acts Discreditable to the Profession/License Holder	6
Competence	3
Firm Registration Licensing Violation	13
Peer Review Remedial Action Violation	2
Unkown	1
Unlawful Advertising	11
Unlawful Practice	2
Confidential Client Information	1
Retention of Client Records	1
Tax Services Standards	1
Total	41